

Public Private Partnership (PPP) – Council Self-Assessment Questionnaire

Council Name:	Lithgow City Council		
Project Name:	Clarence to Wallerawang Pipeline		
Proposed parties for the PPP:	Centennial Coal – Clarence Lithgow City Council		
Project Description (1 paragraph):	The project is made of three parts 1a – Pipeline to transport treated groundwater from Centennial coal Clarence to Farmer’s Creek and Oakey Park Water Treatment Plant. 1b – Pipeline from Farmer’s Creek to Wallerawang for discharge 2 – New Water Treatment Plant at Wallerawang		
		YES	NO
Does the project have an estimated total cost of more than \$50 million?			<input checked="" type="checkbox"/>
Does council’s financial contribution or equity position amount to 25% or more of the council’s annual revenue that is lawfully available for spending on facilities or services of the kind to which the project relates?			<input checked="" type="checkbox"/>
Is there likely to be a risk of council losing money or asset/land value if the project fails?		<input checked="" type="checkbox"/>	
Is there likely to be a transfer of risk from the private party to council?		<input checked="" type="checkbox"/>	
Is the project consistent with council’s community responsibilities?		<input checked="" type="checkbox"/>	
Has the project been planned for as per council’s IPR documentation?			<input checked="" type="checkbox"/>
Is council intending to form a new entity to deliver the PPP?			<input checked="" type="checkbox"/>
Is council intending to provide land to facilitate the PPP project?		<input checked="" type="checkbox"/>	
Does the project involve other agencies?		<input checked="" type="checkbox"/>	
Has the project received external grant funding?			<input checked="" type="checkbox"/>
Is the project reliant on external grant funding?		<input checked="" type="checkbox"/>	
Does the project require borrowings (please specify whether TCorp or bank borrowings will be used)?			<input checked="" type="checkbox"/>
Is the project reliant on special rates variations?			<input checked="" type="checkbox"/>
Was the project borne out of an unsolicited proposal by the private sector?			<input checked="" type="checkbox"/>
Does the project combine several smaller projects into one larger project?			<input checked="" type="checkbox"/>
Does the project involve more than one council?			<input checked="" type="checkbox"/>
Is council both the applicant as well as the consent authority for any development approval required for the proposed project?		<input checked="" type="checkbox"/>	

√ Please note: If any of your answers fall within the red, your project will likely require a full assessment and referral to the PRC.

√ Please note: If you answered yes to intending to form a new entity, you will be required to seek approval from the Minister under Section 358 of the Local Government Act.

√ Please note: If any of your answers fall into the blue, please check the guideline for special considerations that need to be made in regards to your answer.

Required Documentation	Initial Assessment (pre EOI)	Full Assessment (pre Contract)
Generic:		
1. PPP Council Self-Assessment Questionnaire	√	
Certification and Council Resolutions:		
2. GM Certification that the information provided to OLG is correct is to be provided with all submissions to OLG	√	√
3. Council Resolution to agree on outcomes and deliverables of the project	√	
4. Council Resolution to proceed with the project as a PPP and submit to OLG for assessment	√	√
5. Council Resolution to enter into a contract		√
Strategic Phase Documents:		
6. Clear statement of project relationship to IPR documents (community strategic plan, delivery program, operational plans)	√	
7. Clear statement of outcomes and deliverables (as agreed by Council resolution – see required certification and council resolutions above)	√	
8. Clear outline of the proposed management and governance structure for the PPP entity	√	
Business Case Phase Documents:		
9. Justification of PPP as delivery model	√	
10. Justification of need to form new entity under S358 (if proposed)		√
11. Special conditions for separate entities formed to undertake PPP		√
12. Estimated total project costs and sources of funding	√	
13. Value of contributions	√	
14. Council annual revenue available for the type of PPP proposed	√	
15. Full Business Case and Economic Appraisal		√
16. Public consultation reports		√
Project Planning Phase Documents:		
17. Project management plan		√
18. Resource management plan including an assessment of council's capacity to undertake the project		√
19. Projected Project Timeframes		√
20. Risk Assessment and Risk Management Plan as per the relevant AS/NZS	√	
Pre-expression of interest (EOI) Phase Documents:		
21. EOI documentation	√	
22. Probity plan	√	
23. Assessment plan	√	
Pre-Contract Phase Documents:		
24. Summary of EOI and subsequent selection process undertaken		√
25. All tender documentation that was produced in addition to EOI documentation		√
26. Final project specifications and objectives		√
27. Final management structure for chosen PPP vehicle		√
28. Final Risk Management Plan		√
29. Financial appraisal		√
30. Proposed contract		√
Other:		
In some cases, OLG and/or the PRC may request independent specialist consultant reports on certain project issues, which will need to be funded and commissioned by council.		OLG will notify council of any need of special reports if and when required
Contract Variations:		

Notification to OLG of any major project variation; i.e. a change in scope, change in project cost of >20% or a delay in project timing of more than 12 months.

OLG and/or the PRC may request updated versions of any of the above documents